

Form 31-501F3

**Submission to Jurisdiction and Appointment of Agent for Service for International Investment Fund Manager
(section 8 [Non-resident investment fund manager – Permitted clients])**

Note: An international investment fund manager may appoint one agent for service in any CMR Jurisdiction for service in all CMR Jurisdictions. However, the international investment fund manager must submit to the jurisdiction of each CMR Jurisdiction.

1. Name of person ("International Firm"):
2. If the International Firm was previously assigned an NRD number as a registered investment fund manager or an unregistered exempt international firm, provide the NRD number of the firm:
3. Jurisdiction of incorporation of the International Firm:
4. Address of head office or principal place of business of the International Firm:
5. The name, e-mail address, phone number and fax number of the International Firm's chief compliance officer.

Name:

E-mail address:

Phone:

Fax:
6. Name of agent for service of process (the "Agent for Service"):
7. Address for service of process on the Agent for Service:
8. The International Firm designates and appoints the Agent for Service at the address stated above as its agent upon whom may be served a notice, pleading, subpoena, summons or other process in any action, investigation or administrative, criminal, quasi-criminal or other proceeding (a "Proceeding") arising out of or relating to or concerning the International Firm's activities in a CMR Jurisdiction and irrevocably waives any right to raise as a defence in any such proceeding any alleged lack of jurisdiction to bring such Proceeding.
9. The International Firm irrevocably and unconditionally submits to the non-exclusive jurisdiction of the judicial, quasi-judicial and administrative tribunals of each CMR Jurisdiction in any Proceeding arising out of or related to or concerning the International Firm's activities in the CMR Jurisdiction.
10. Until 6 years after the International Firm ceases to rely on section 8 [*Non-resident investment fund manager – Permitted clients*], the International Firm must submit to the Chief Regulator

- a. a new *Submission to Jurisdiction and Appointment of Agent for Service for International Investment Fund Manager* in this form no later than the 30th day before the date this *Submission to Jurisdiction and Appointment of Agent for Service for International Investment Fund Manager* is terminated; and
 - b. an amended *Submission to Jurisdiction and Appointment of Agent for Service for International Investment Fund Manager* no later than the 30th day before any change in the name or above address of the Agent for Service.
11. This *Submission to Jurisdiction and Appointment of Agent for Service for International Investment Fund Manager* is governed by and construed in accordance with the laws of the applicable CMR Jurisdiction.

Dated: _____

(Signature of the International Firm or authorized signatory)

(Name and Title of authorized signatory)

Acceptance

The undersigned accepts the appointment as Agent for Service of (Insert name of International Firm) under the terms and conditions of the foregoing *Submission to Jurisdiction and Appointment of Agent for Service for International Investment Fund Manager*.

Dated: _____

(Signature of Agent for Service or authorized signatory)

(Name and Title of authorized signatory)